



Form PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 028622/0102		SERIAL NO. 09/673,735	
INFORMATION DISCLOSURE CITATION  (Use several sheets if necessary)				APPLICANT Bernd DORKEN et al.			
				FILING DATE December 27, 2000		GROUP ART UNIT Unassigned	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
Pkt	A11	National Cancer Institute Sponsored Study of Classifications of Non-Hodgkin's Lymphomas, Summary and Description of a Working Formulation for Clinical Usage., Cancer, vol. 49, pp. 2112-2135, 1982, (Exhibit 1)					
	A12	Harald Stein et al., "Die neue WHO-Klassifikation der malignen Lymphome.", Deutsches Arzteblatt, vol. 96, pp. C-2302-C-2309, December, 1999, including English language summary, (Exhibit 2)					
	A13	Parveen Kumar et al., 3rd Edition, Clinical Medicine, pp. 369-370, Bailliere Tindall, (Exhibit 3)					
	A14	Jan De Jonge et al., "PRODUCTION AND CHARACTERIZATION OF BISPECIFIC SINGLE-CHAIN ANTIBODY FRAGMENTS.", Molecular Immunology, vol. 32, No. 17/18, pp. 1405-1412, 1995, (Exhibit 4)					
	A15	Jan De Jonge et al., "In Vivo Retargeting of T Cell Effector Function by Recombinant Bispecific Single Chain Fv (Anti-CD3 X Anti-Idiotypic) Induces Long-Term Survival in the Murine BCL1 Lymphoma Model <sup>1</sup> .", The Journal of Immunology, vol. 161, pp. 1454-1461, 1998, (Exhibit 5)					
	A16	Matthias Mack et al., "Biologic Properties of a Bispecific Single-Chain Antibody Directed Against 17-1A (EpCAM) and CD3.", Proceedings of the National Academy of Science, vol. 92, pp. 7021-7025, 1995, (Exhibit 6)					
EXAMINER		P. K. [Signature]		DATE CONSIDERED		7/19/05	
* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.							

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Date Submitted: March 28, 2005

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Sheet

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of

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## Complete if Known

Application Number	09/673,735
Filing Date	12/27/2000
First Named Inventor	Bernd DORKEN
Group Art Unit	1642
Examiner Name	Larry Ronald Helms
Attorney Docket Number	028622-0102

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
PKT	D6	WEINER, G. J., et al., "Bispecific Monoclonal Antibody Therapy of B-Cell Malignancy", Leukemia and Lymphoma, vol. 16, pp. 199-207 (1995).	
	D7	HAAGEN, I., "Performance of CD3 x CD19 Bispecific Monoclonal Antibodies in B Cell Malignancy", Leukemia and Lymphoma, vol. 19, pp. 381-393 (1995)	
	D8	HAAGEN, I., et al., "The Efficacy of CD3 x CD19 Bispecific Monoclonal Antibody (BsAb) in a Clonogenic Assay: The Effect of Repeated Addition of BsAb and Interleukin-2", Blood, vol. 85, no. 11, pp. 3208-3212 (1995).	
	D9	MACK, M., et al., "A small bispecific antibody construct expressed as a functional single-chain molecule with high tumor cell cytotoxicity", Pro. Natl. Acad. Sci., vol. 92, pp. 7021-7025 (1995).	
	D10	KIPRIYANOV, S. M., et al., "Bispecific diabody for lysis of human B-lineage leukemia cells", The Fourteenth International Conf. on Adv. In the Application of Monoclonal Antibodies in Clinical Oncology", p. 29 Thira Santorini, Greece (May 5-7, 1997).	
	D11	DE JONGE J., et al., "Bispecific antibody treatment of murine B cell lymphoma", Cancer Immunol. Immunother, vol. 45, pp. 162-165 (1997).	
	D12	KUFER, P., et al., "Construction and biological activity of a recombinant bispecific single-chain antibody designed for therapy of minimal residual colorectal cancer", Cancer Immunol. Immunother, vol. 45, pp. 193-197 (1997).	
	D13	KIPRIYANOV, S. M., et al., "Bispecific CD3 x CD 19 Diabody for T Cell-Mediated Lysis of Malignant Human B Cells", Int. J. Cancer, vol. 77, pp. 763-772 (1998).	

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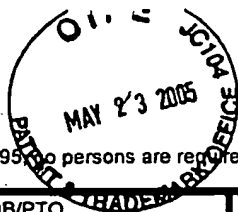
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		Attorney Docket Number	028622-0102

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PKT	D1	ANDERSON, P. M., et al., "G19.4(αCD3) x B43(αCD19) Monoclonal Antibody Heteroconjugate Triggers CD19 Antigen-Specific Lysis of t(4;11) Acute Lymphoblastic Leukemia Cells by Activated CD3 Antigen-Positive Cytotoxic T Cells, Blood, vol. 80, no. 11, pp. 2826-2834 (1992).	
	D2	BOHLEN, H., et al., "Cytolysis of Leukemic B-Cells by T-Cells Activated via Two Bispecific Antibodies", Cancer Research, vol. 53, pp. 4310-4314 (1993).	
	D3	HAAGEN, I., et al., "Killing of Autologous B-Lineage Malignancy Using CD3 x CD19 Bispecific Monoclonal Antibody in End Stage Leukemia and Lymphoma", Blood, vol. 84, no. pp. 556-563 (1994).	
	D4	HAAGEN, I., et al., "Unprimed CD4+ and CD8+ T cells can be rapidly activated by a CD3 x CD19 bispecific antibody to proliferate and become cytotoxic", Cancer Immunol. Immunother, vol. 39, pp. 391-396 (1994).	
	D5	DE GAST, G. C., et al., "Clinical Experience with CD3 x CD 19 Bispecific Antibodies in Patients with B Cell Malignancies", J. Hema., vol. 4, pp. 433-437 (1995).	
	D6	WEINER, G. J., et al., "Bispecific Monoclonal Antibody Therapy of B-Cell Malignancy", Leukemia and Lymphoma, vol. 16, pp. 199-207 (1995).	
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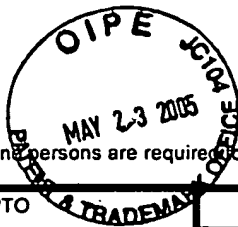
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